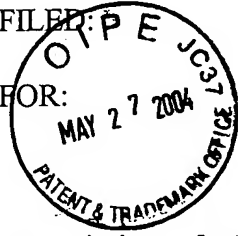


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DONALD McCLUSKEY ET AL.)
) Group Art Unit: 1745
SERIAL NO.: 10/065,386)
) Examiner: S. Kalafut
FILED: October 11, 2002)
)
FOR: METHOD AND SYSTEM FOR)
BALANCED CONTROL OF BACKUP)
POWER)



Commissioner for Patents
P.O. Box 1450
Alexandria, Va 22313-1450

LETTER

Dear Sir:

Applicant hereby brings to the Examiner's attention the following co-pending applications having potentially related subject matter:

Application U.S. Serial No. 10/065,387, "METHOD AND SYSTEM FOR CONTROLLING AND RECOVERING SHORT DURATION BRIDGE POWER TO MAXIMIZE BACKUP POWER", filed October 11, 2002 by John Speranza, et al.

By such disclosure, Applicant does not waive and has no intent to waive any statutory right to maintain the secrecy of the above-referenced applications.

Applicant believes there is no fee associated with this Letter. However, if there are any additional charges with respect to this Letter or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicant's attorneys.

Respectfully Submitted,

CANTOR COLBURN LLP

By Troy J. LaMontagne

Troy J. LaMontagne
Registration No. 47,239
55 Griffin Road South
Bloomfield, CT 06002
Telephone: (860) 286-2929
Facsimile: (860) 286-0115
Customer No. 23462

Date: May 25, 2004

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
PES-D-02026

In Re Application Of: **Donald McCluskey, et al.**

Serial No.
10/065,386

Filing Date
October 11, 2002

Examiner
S. Kalafut

Group Art Unit
1745

Title: **METHOD AND SYSTEM FOR BALANCED CONTROL OF BACKUP POWER**



Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
PES-D-02026

In Re Application: **Donald McCluskey, et al.**

Serial No.
10/065,386

Filing Date
October 11, 2002

Examiner
S. Kalafut

Group Art Unit
1745

METHOD AND SYSTEM FOR BALANCED CONTROL OF BACKUP POWER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **06-1130**
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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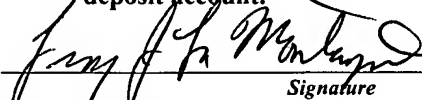
I certify that this document and fee is being deposited on **May 25, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Lisa Snyder

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

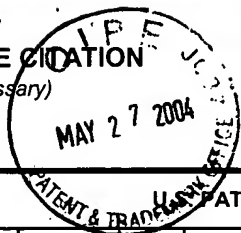
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Bloomfield, CT 06002
Telephone (860) 286-2929
Facsimile (860) 286-0115
Customer No. 23462

Dated: **May 25, 2004**

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

PES-D-02026

SERIAL NO.

10/065,386

Donald McCluskey, et al.

FILING

October 11, 2002

GROUP

1745

PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,011,324	1/4/00	Kohlstruck, et al.	307	64	
	5,942,811	8/24/99	Stumfall, et al.	307	64	
	6,163,088	12/19/00	Codina, et al.	307	64	
	20020109410A1	8/15/02	Young, et al.	307	64	
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	20020140293A1	10/3/02	Rothleitner, et al.	307	64	
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	6,555,989	4/29/03	Pearson	302	101	
	20030072977A1	4/17/03	Speranza et al.	429	9	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP02002075388A	3/15/02	Japan			✓	
	JP02001266923A	9/28/01	Japan			✓	
	JP410153165A	6/9/98	Japan			✓	
	EP0755088A2	1/22/97	EP				
	WO 02/10877A2	2/7/02	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT & TRADEMARK OFFICE

PES-D-02026

10/065,386

October 11, 2002

1745

U.S. GOVERNMENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

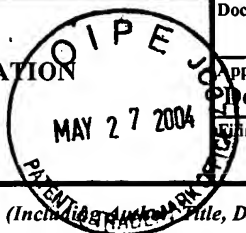
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional) PES-D-02026	Application Number 10/065,386
Applicant(s) Donald McCluskey, et al.		Filing Date October 11, 2002	Group Art Unit 1745



*EXAMINER INITIAL	OTHER DOCUMENTS <i>(Including Summary, File, Date, Pertinent Pages, Etc.)</i>
	Warner, S.M., "The Operation of Aluminum-Air Reserve Power Systems," IEEE Intelec '91, November 1991
	Key, T., "Fast Response, Load Matching Hybrid Fuel Cell." EPRI PEAC Quarterly Review Meeting, Washington, D.C., July 27, 2001
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	E.M. Cortright, chairman, "Report of Apollo 13 Review Board, "NASA, Appendix A, pp. A-42 to A-51, June 15, 1970
	Letter from Robert C. Laurenson of Hawrey, Simon, Arnold & White dated 9/4/03 including Claim Chart of U.S. Patent Application Publication No. 2003/0072977 A1 (7 pages)
	Co-Pending letter

EXAMINER	DATE CONSIDERED
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